Central Recordkeeping Agency



Standard Operating Procedure for POP/POP-SP For Error Rectification Module (ERM)

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1. Preface

Government of India (GOI) introduced a new Defined Contribution Pension Scheme known as the National Pension System (NPS) replacing the existing system of Defined Benefit Pension System vide Government of India, Ministry of Finance, Department of Economic Affairs Notification, dated 22nd December 2003. The NPS came into operation with effect from 1st January 2004 and is applicable to all new employees of Central Government service, except Armed Forces, joining Government service on or after 1st January 2004. The employees of Central Autonomous organizations, State Governments/Union Territories (UTs) and the Autonomous organizations of the respective State Government/UT are also eligible to join the NPS. The NPS has also been extended to Un-organised sector (NPS to All Citizen of India). The employees who join the NPS are known as 'Subscribers'.

GOI established Pension Fund Regulatory and Development Authority (PFRDA) on 10th October 2003 to develop and regulate the Pension Funds under the NPS. PFRDA appointed National Securities Depository Limited (NSDL) as the Central Recordkeeping Agency (CRA) to maintain the records of contribution and its deployment in various pension fund schemes for the employees.

In NPS, the intermediaries such as POP/POP-SP, provide the subscriber contribution details to CRA and remits the funds to Trustee Bank which in turn transfer the funds for investment to Pension Fund Managers (PFMs) based on the CRA's instruction. Subsequently, units are allotted to the subscribers based on their contribution amount. At the time of providing the subscriber contribution details, the intermediaries may transfer erroneously contribution details which results in incorrect credit in the subscriber account. The intermediaries would intend to rectify the subscriber record in the CRA system. This document describes the Standard Operating Procedure to be followed by the POP/POP-SP for error rectification in the CRA system.

2. Acronyms and Abbreviations

The following definitions, acronyms & abbreviations have been used in this manual:

ACRONYM	DESCRIPTION
CRA	Central Recordkeeping Agency
ERM	Error Rectification Module
GOI	Government of India
I-PIN	Internet Personal Identification Number
NPS	National Pension System
NSDL	NSDL e-Governance Infrastructure Ltd.
PFRDA	Pension Fund Regulatory & Development Authority
POP	Point of Presence
POP-SP	Point of Presence-Service Provider
PRAN	Permanent Retirement Account Number
TS	Transaction Statement

3. Error Rectification Module

In NPS, POP/POP-SP provides the subscribers' contribution details by uploading the Subscriber Contribution File (SCF) onto the CRA system and subsequently, remits the funds to Trustee Bank. Based on the Fund Receipt Confirmation (FRC) from Trustee Bank, the Trustee Bank transfers the funds to Pension Fund Managers (PFMs) for investment and according to the proportion of contribution amount, the units are allotted to the subscriber's Permanent Retirement Account.

At the time of providing the subscriber contribution details, the POP/POP-SP may transfer the contribution details erroneously which results in incorrect credit of units in the subscriber account. In a nutshell, the POP/POP-SP may have committed the following errors:

- A. Excess Transfer to a PRAN
- B. Amount wrongly credited in PRAN 1 instead of PRAN 2

On identification of above mentioned error, the POP/POP-SP may use the Error Rectification Module available in the CRA system to rectify the same. This functionality will help in resolving the excess transfers to the recipient or transfers to incorrect recipient because of the error committed by the POP/POP-SP while transferring the amount. The succeeding pages describe the SOP to be followed by the POP/POP-SP for rectification of above mentioned errors in the CRA system.

3(A) Excess amount transferred to a PRAN:

In case, a POP/POP-SP has erroneously transferred excess amount to a PRAN and the amount has been credited into the subscribers account, the resolution of these cases can be done by the POP/POP-SP using ERM functionality. The functionality has certain validations which are mentioned below:

- 1. The functionality has operational hierarchy which comprises maker, checker and Authorizer. In case of centralized¹ mode of operation, only the maker and checker role will be applicable. The maker and checker here are referred as User 1 and User 2.
- 2. The PRAN should be in active status in the CRA system.
- 3. POP/POP-SP can capture the request for only that record which has been uploaded by it, irrespective of whether at present the Subscriber is associated with that POP/POP-SP or not.
- 4. Error rectification request once captured, POP/POP-SP will not be able to capture a fresh (new) request for a PRAN unless the request captured for a combination of PRAN and transaction ID is processed/rejected completely. For example, POP has captured a rectification request for withdrawal of an erroneous credit given to a PRAN "1" for the month of July 2014 through transaction ID "X", unless this request is effectively completed, POP/POP-SP will not be able to capture any other rectification request for the credit pertaining to July 2014 from transaction ID 'X' for this particular subscriber.

As per this process, the units credited in the subscriber account (units equivalent to the contribution amount credited at the time of erroneous credit) would be redeemed. The redemption proceeds (the realized value) will be transferred to the POP/POP-SP. The processing logic has been explained with example below:

The processing logic has been explained considering the status of the PRAN in the CRA system at time of rectification:

1) In case of same Scheme Preference – In case the scheme preference of the PRAN which is being considered for rectification has not changed and it is as

¹ Centralized mode of operation refers where uploading of contribution, Transfer of Funds, Maintenance, etc are carried out by the POP (Point of Presence).

same as it was at the time of erroneous credit, the treatment of such rectification has been explained in the example as case A.

 In case of different Scheme Preference – In case the scheme preference of PRAN which is being considered for rectification has changed/different than what was before or at the time of erroneous credit, the example has been explained as case B.

Case A- <u>**PRAN** has same Scheme Preference:</u> In case, the PFM scheme preference is identical for the PRAN at the time of erroneous credit as well as at the time of rectification, then equivalent units created due to erroneous credit will be redeemed from the PRAN and proceeds will be transferred to the POP/POP-SP.

Illustration:

Erroneous credit:

POP/POP-SP "X" had transferred erroneously Rs. 2,000/- in PRAN "1" on April 22, 2010. Based on NAV of Rs. 10/-, 200 units were created for Rs. 2,000/- and credited to the PRAN on April 22, 2010.

Rectification process:

The POP/POP-SP "X" identified such erroneous credit on February 15, 2013 and captures the rectification request in the CRA system. After the necessary verification and authorization of rectification request by POP/POP-SP and subscriber, CRA system will be required to redeem the above mentioned 200 units created erroneously and transfer the same to POP/POPSP.

Redemption process:

As the request is authorized by subscriber on Feb 15, 2013, CRA system will calculate and derive "200 units" (units created at the time of investment) and same will be considered for redemption on February 16, 2013. The redemption value and actual contribution amount may be different after the redemption. The realized value of redemption will depend upon the Net Asset Value (NAV) declared by PFM on February 16, 2013.

In case the NAV on February 16, 2013 is Rs. 9 (as against Rs. 10 at the time of investment), the redemption proceeds will be Rs. 1,800/- (less than the actual investment) and Rs 1800/- will be transferred to the POP/POP-SP.

In case the NAV on February 16, 2013 is higher i.e. Rs. 15, in such case, the proceeds will be Rs. 3000/- and Rs 3000/- will be transferred to the POP/POP-SP.

Case B- <u>**PRAN** has different Scheme Preference:</u> In case, the PFM scheme preference is different for the PRAN at the time of erroneous credit as well as at the time of rectification, in such case the CRA system will recalculate the equivalent units with retrospective impact of scheme preference on that PRAN.

Illustration:

Erroneous credit:

POP/POP-SP 'X' had transferred Rs. 2,000/- inadvertently in 'PRAN "1", on April 22, 2010. Based on NAV of Rs. 15.2345/-, 131.2809 units were created for Rs. 2,000/- and credited to the PRAN as shown in the below table.

Contribution investment table:

The erroneous amount got settled on 22 April 2010 as per the erroneous transaction on the day.

PFM Scheme Name	PFM Scheme Percent	Erroneous credit amount (Rs.)	NAV (day of investment)	Units (Units allotted against the investment)
Scheme S- 1 of PFM				
"1"	100	2000	15.2345	131.2809

Rectification process:

The POP/POP-SP "X" identified such erroneous credit on February 15, 2013 and captures the rectification request. After the necessary verification and authorization of rectification request by POP/POP-SP and subscriber, CRA system will be required to redeem the above mentioned 131.2809 units created erroneously and transfer the same to POP/POPSP.

Redemption process:

Unlike case A, where the subscriber scheme preference was same and, the number of units also remained same, hence, the exact units (units created at the time of investment) were redeemed. However, in this case the number of units to be debited on account of such rectification will undergo change due to change in scheme preference.

When a subscriber's scheme preference changes, the entire holding in the scheme is transferred to the new scheme as per the revised calculation in target scheme. The

units position in the old scheme and the new scheme may also change due to different NAV in the new scheme.

As the subscribers unit holding changes with every change in the scheme preference, the units will be derived based on the retrospective effect i.e. units created on the day of investment will be tracked to ascertain the present equivalent units, the following table represents the current equivalent units of the erroneous credit:

PFM Scheme Name	Scheme Percent	Erroneous amount	NAV of the day of shifting	Erroneous units		
Scheme S2 of						
PFM"2"	100	2838.67	22.3232	127.1623		

Current status of the investment in the subscriber account

The above table depicts that due to changes in the subscriber's scheme i.e. from Scheme S-1 of PFM "1" to Scheme S2 of PFM "2", the units (131.2809) which were created at the time of investment have become 127.16 in the CRA system.

Accordingly, as erroneous request for Rs. 2000 is placed for PRAN "1", then 127.1623 units (considering the retrospective impact) will be redeemed and the proceeds will be transferred to the POP/POP-SP. The calculation of funds to be transferred to POP/POPSP will be as same as it is mentioned in Case A above.

The following section elaborates the Standard Operating Procedure to be followed by the POP/POP-SP for rectification in case of Excess amount transferred to a PRAN.

Capturing of Request:

POP/POP-SP will login into the CRA system (<u>www.cra-nsdl.com</u>) by DSC based login. Please refer Figure 1 & Figure 2.

S NSDL	Central Recordkeeping Agency
ws	Welcome to C
Subscribers	Nodal Offices / Other Intermediaries
	O I-PIN
	 Digital Certificate
	User ID
Entrust Submit Reset	Submit Reset
Forgot Password?	
	Check Grievance Status Check Grievance Status
	 Cneck Subscriber Registration Status DDO Login using OTP
Check Grievance Status Check Status using Receipt Number	
Help / Instruction for Login	Help / Instruction for Login

Figure: 1

Once the POP/POP-SP log-in into the CRA site, 'Error Rectification Module' menu will be shown. User will click the sub-menu 'Rectification of Erroneous Transfer to subscriber'. Please refer Figure 2.



Figure: 2

User will be shown the Welcome page of this menu. A summary containing information relevant to the menu has been provided in the Welcome page. Please refer Figure 3.

6 NSDL	Central Recordkeeping Agency
Welcome Point of Presence - Service Provider-1400985600	30-Dec-2014 Home Logout
Transaction Contribution Details Grievance Exit Withdrawal Request User Maintenance	Document Management Views MIS Nodal Offices Upload
Error Rectification Module S1 Submission Details Inter Platform Transfer Request	
Terms & Conditions	
> This link will help the user to withdraw the excess amount which was transferred by the entity to the	e Trustee Bank, which is already invested and is available in the pool.
For redemption of such excess transfers the user will have to enter all the mandatory details suc Transfer details, Bank Details - Erroneous Fund Transfer Details (Bank details of PAO), Bank Deta will be transferred) and clicks on Submit. User may also click on Reset button in order to re-enter the submit.	h as PAO Reg. No. (if PAO user is maker then this field is pre-populated), ails - Payment to be Received (Details of bank to which the excess payment ne fields.
The request thus raised by the entity maker user will go to another entity checker user for verifica activity). CRA user can also authorize the request raised by PAO on behalf of PrAO.	ation, followed by an authorization by their PrAO (maker/checker/authorizer
 Once the request is authorized it will be available with the trustee bank for final approval. Once the be considered for disbursement by the CRA system. 	request is approved by the trustee bank maker and checker user then it will
Continue	

Figure: 3

User has to click the 'Continue' button to capture the request. The page of transaction search will be shown as mentioned in 'Figure 4'.

O NSDL Central Recordkeeping Agency									
Welcome Point of Presence - Service Provider-1400985600			30-Dec-2	014	Home Logout				
Transaction Contribution Details Grievance Exit Withdrawal Requi	est User Maintenance	Document Management	Views	MIS	Nodal Offices Upload				
Error Rectification Module S1 Submission Details Inter Platform Tran	sfer Request								

Rectification of Erroneous Transfer To PRAN

		* Mandatory Fields
Transaction Id *	1400985600023	
PRAN *	110030995551	
Tier Type *	Tier Type 1 🗸	
	Submit Reset	

0

Figure: 4

User will provide the required details. It is mandatory to provide '**Transaction ID**', **PRAN and Tier Type.** If the PRAN is not uploaded in the Transaction ID, CRA system will show as 'No Record Found'. Once User clicks the submit button, following screen will be shown to the User. Please refer Figure 5.

🗿 NSDI		<u></u>					/	Centr	al R	eco	rdke	eping <i>I</i>	Agency
Welcome Point of Presence - S	Service Provider	r-1400985600								30-De	ec-2014		Home Logou
nsaction Contribution	Details Gr	rievance E	xit Withdr	rawal Requ	est User	Maintenan	ce Do	ocument M	anageme	nt Vi	ews M	IS Nodal O	ffices Uploa
or Rectification Module	S1 Submiss	ion Details	Inter Pla	tform Tran	sfer Reque	st							
Rectification	n of Errone	ous Transi	fer To Pl	RAN									
earch Details												* Ma	indatory Field
Acknowledgement No.		-		Tier Type		T1							
ransaction Id		1400985600	023	PRAN		1100309	95551						
contribution Type		Tier-1		Subscriber	Name	PRANIT	VIREND	RASINGH B	ANTHIA				
Contribution Month / Year				Contributio	on Amount								
PAO/POP-SP/POP/PrAO P	Reg. No.	6009850		PAO/POP- SP/POP/P	rAO Name	State Ba	nk of Indi	a, Bhadra -	Ahmedab	ad			
ontribution Details													
Sr No. PAO/D	DO Reg. No.	PRAN	Contribu	tion Type	Month	Year		Amount		Re	marks	Amount A Adius	vailable for tment
1 60	009850 1	10030995551	Tie	er-1				454.00		1260098	50000000	04 454	1.00
Request Type *		Redemp	tion of exe	cess transf	er to PRAN		~						
Amount to be Adjusted		454		_									
Remark for SOT *		Rectificatio	n of Errone	ous Transact	ion					1			
arget PRAN Details		L											
Target PRAN	Target Tier Type	Amount adjus	to be ted					Remark	for SOT				
	Select 🗸												
	Select 🗸												
	Select V												
	Select- V												
ank Dotails of PAO	Select V												
ann Details of FAG	100.150		Dook	Nama *	20			1	Dranab	Nome *	0.00		
	123456		Darik	Name *	Ľ.	YZ			Dia Cod	vame	PQH	(
ES Codo *	ABC		Mode	of Transfer	* -	23456789			Pin Cod	e	4000	000	
lote Redemption of exces	s transfer to P	RAN: Excess	Funds will	be withdraw	Submit	Reset	same ent	ity from whe	ere amou	nt had be	en receive	ed for the tran io	I.
Adjustment of errone	ous transfer to	wrong PRAN	: Contribut	ion will be s	hitted to the		N as per	details pro	vided.				

User will be shown all the contributions uploaded for the PRAN based on the search criteria. User has to choose the records in which rectification is to be carried out. User will provide the following;

- 1. **Amount to be Adjusted:** POP/POP-SP User will provide the amount which is to be debited from the selected record. This particular amount will be debited from the subscriber account and will be paid to the POP/POP-SP.
- 2. **Remarks for Transaction Statement (TS):** POP/POP-SP User will provide the appropriate remark in this field for subscriber's TS. This remark will be shown in the subscriber's TS with the debit entry of the 'Amount to be Adjusted'.
- 3. **Bank Details:** User will have to provide the Bank Details such as Account Number, Account Name, Branch Name, IFS Code, Mode of Transfer, MICR (MICR code is mandatory only in case 'Type of Transfer' selected as 'Cheque') etc. User should provide the Bank Details carefully as amount will be remitted to this Bank account. There will be certain validations while capturing the request such as 'If the MICR is less than nine digits, message will be shown to the User 'MICR should be of nine digits'. Same validation is there for IFS code. If the IFSC is less than 11 digits, message will be shown to the User 'IFSC should be of 11 digits'.

After providing the mandatory details, the user clicks the submit button, confirmation page will be shown as below. Please refer Figure 6.

🙆 NSDL	5				Centra	ıl Rec	ordke	eepin	g Agency
Welcome Point of Presence - Service Provider	-1400985600					3	0-Dec-2014		Home Logout
Transaction Contribution Details Gr	ievance Exit Withd	rawal Request	User	Maintenance	Document Man	agement	Views I	MIS Noo	al Offices Upload
Error Rectification Module \$1 Submiss	ion Details Inter DI	atform Transfe	r Romost						
			riteques						
Erroneous Transfer To PF	2AN								
Search Details									
Acknowledgement No.	-	Tier Type		T1					
Transaction Id	1400985600023	PRAN		110030995551					
Contribution Type	Tier-1	Subscriber Na	ame	PRANIT VIRE	NDRASINGH BAI	NTHIA			
Contribution Month / Year		Contribution A	mount	454.00					
PAO/POP-SP/POP/PrAO Reg. No.	6009850	PAO/POP- SP/POP/PrAC) Name	State Bank of I	ndia, Bhadra - Ah	nmedabad			
Contribution Details									
PAO/DDO Reg. No. PRAN	Contribution Type	Month	Year	An	nount	Ren	narks	Amoun	t Available for
6009850 110030995551	Tier-1			45	54.00	12600985	000000004		454.00
Request Details									
Request Type	Redemption of exce	ee transfor to Di							
Amount to be Adjusted	454	55 (10115)(11011)	0.11						
Remark for SOT	Rectification of Error	neous Transacti	on						
Bank Details of PAO									
Account Number 123456	Ba	nk Name		XYZ		B	Branch Name	•	PQR
Bank Address ABC	MI	CR Code		12345678	9	P	Pin Code		400000
IFS Code 1111111	11111 Mo	de of Transfer		Electronic	Transfer				
		C	onfirm	Cancel					

Figure: 6

Once User will click on the Confirm button, Acknowledgement ID will be provided to the User. User should note down the Acknowledgment number for tracking the status of the request. Please refer Figure 7.

🙆 NSD	L	5				Centra	l Record	lkeej	oing Agency
Welcome Point of Present	e - Service Provide	r-1400985600					30-Dec-2	2014	Home Logout
ransaction Contribut	tion Details G	rievance Exit Witho	Irawal Request	User	Maintenance	Document Mana	gement View	s MIS	Nodal Offices Upload
rror Rectification Modul	le S1 Submiss	sion Details Inter Pl	atform Transfe	r Reques	t				
Rectification	Rec n of Erroneou	quest Captured Success	fully.Acknowled <u>;</u> AN	gement IC) is: 1000005788	3. Request pendin	g for verification		
Search Details									
Acknowledgement No.		10000057883	Tier Type		T1				
Transaction Id		1400985600023	PRAN		11003099555	1			
Contribution Type		Tier-1	Subscriber Na	ame	PRANIT VIRE	NDRASINGH BAN	THIA		
Contribution Month / Ye	ear		Contribution A	Amount	454.00				
PAO/POP-SP/POP/PrA	AO Reg. No.	6009850	PAO/POP- SP/POP/PrAC) Name	State Bank of	India, Bhadra - Ahr	medabad		
Contribution Details									
PAO/DDO Reg. No.	PRAN	Contribution Type	Month	Year	An	nount	Remarks	A	mount Available for Adjustment
6009850	110030995551	Tier-1			45	54.00	12600985000000	004	454.00
Request Details									
Request Type		Redemption of exce	ess transfer to Pl	RAN					
Amount to be Adjusted		454							
Remark for SOT		Rectification of Erro	neous Transacti	on					
Account Number	123456	i Ba	Ink Name		XYZ 10045676	00	Branch I Bin Cod	Name	PQR 400000
IFS Code	111111	111111 Mo	ode of Transfer		Electronic	: Transfer	Fill Cou	e	40000
Maker Details		llessid		Demused	Classics		Dom	aska	
2014-12-30 12:28:39	0.011752	1400985600		Captu	ired	R	Rem Rectification of Erro	oneous Tra	nsaction
Checker Details Action Timesta	amp	User Id		Request	Status	I	Rem	arks	
Authorizer Details									

Figure: 7

Now request is available in CRA system for the verification and authorization.

Verification of Request :

The second user will login into the CRA site. Once User logs into the site, User will click on the sub-menu 'Verify Rectification of Erroneous Transfer to subscriber'. Please refer Figure 8.



Figure: 8

User will provide the PRAN/Acknowledgement ID and select the 'Request Type' in the search screen. User can also search the request by providing the 'Date Range'. Please refer Figure 9.

🧐 NSDL			Central R	ecord	lkee	eping Agency		
Welcome Point of Presence - Service Pro	ovider-1400985601			30-Dec-2	014	Home Logout		
Transaction Contribution Details	Grievance Exit Withdrawal Req	uest User Maintenance	Document Management	Views	MIS	Nodal Offices Upload		
Error Rectification Module \$1 Submission Details Inter Platform Transfer Request								

Rectification of Erroneous Transfer To PRAN

PRAN			
Acknowledgement No.	10000057883		
Request Type	Select	~	
PAO/POP-SP/POP/PrAO Reg. No.			
Capture Date - From	(dd/mm/y	yyy)	
Capture Date - To	(dd/mm/y	ууу)	
	Submit Reset		

Figure: 9

Once User provides the search fields, Acknowledgment ID with hyperlink will be provided to the User. Please refer Figure 10.

Welcome Point of Presence - Service Provider-1400985601 30-Dec-2014 ransaction Contribution Details Grievance Exit Withdrawal Request User Maintenance Document Management Views MIS Nod ror Rectification Module S1 Submission Details Inter Platform Transfer Request Image: Rectification of Erroneous Transfer To PRAN Image: Rectification of Erroneous Transfer To PRAN PRAN Image: Request Type Image: Select Image: Select PAO/POP-SP/POP/PrAO Reg. No. Image: Select Image: Select Capture Date - From 30/12/2014 Image: Gdd/mm/yyyy) Submit Reset	nng Agenc	cordkee	Central Re			NSDL
Ansaction Contribution Details Gree exit Withdrawal Request User Maintenance Document Management Views MIS Node ror Rectification Module \$1 Submission Details Inter Platform Transfer Request Image: Contribution of Erroneous Transfer To PRAN PRAN	Home Logo	30-Dec-2014			rovider-1400985601	Icome Point of Presence - Service Pr
Rectification of Erroneous Transfer To PRAN PRAN Acknowledgement No. Request Type PAO/POP-SP/POP/PrAO Reg. No. Capture Date - From 30/12/2014 Gature Date - To 30/12/2014 Cubure Date - To Submit	lodal Offices Upload	Views MIS	intenance Document Management	rawal Request User Maint atform Transfer Request	Grievance Exit Wi	action Contribution Details Rectification Module S1 Sut
Acknowledgement No.	8			AN	neous Transfer To P	PRAN
30/12/2014 III (dd/mm/yyyy) Submit Reset					ement No.	Acknowledge
Acknowledgement No. PRAN Request Type Captured By				Select 30/12/2014	be P/POP/PrAO Reg. No. e - From	Request Typ PAO/POP-Si Capture Date

Figure: 10

Once User clicks the hyperlink, rectification details will be shown to the User. User has the option to 'Accept' or 'Reject' the request. User can also provide the remarks in 'remarks' field for their reference while processing the request. Please refer Figure 11.

🗿 NSDL			Cent	iral Recordko	eeping Agency
Welcome Point of Presence - Service	Provider-1400985601			30-Dec-2014	Home I Logout
ransaction Contribution Details	s Grievance Exit Wi	ithdrawal Request User	Maintenance Document	Management Views	MIS Nodal Offices Upload
rror Rectification Module S1 Si	ubmission Details Inte	r Platform Transfer Reques	t		
Rectification of Error	oneous Transfer to P	RAN			•
Search Details					
Acknowledgement No.	10000057883	Tier Type	T1		
Transaction Id	1400985600023	PRAN	110030995551		
Contribution Type	Tier-1	Subscriber Name	PRANIT VIRENDRASINGH	BANTHIA	
Contribution Month / Year		Contribution Amount	454.00		
PAO/POP-SP/POP/PrAO Reg. No	o. 6009850	PAO/POP- SP/POP/PrAO Name	State Bank of India, Bhadra	- Ahmedabad	
Contribution Details					
PAO/DDO PRAN	Contribution Type	Month Year	Amount	Remarks	Amount Available for
6009850 110030995551	Tier-1		454.00	12600985000000004	454.00
Request Details					
Request Type	Redemption of e	xcess transfer to PRAN			
Amount to be Adjusted	454.00				
Remark for SOT	Rectification of E	rroneous Transaction			
Bank Details of PAO					
Account Number	123456	Bank Name	XYZ	Branch Name	PQR
Bank Address	ABC	MICR Code	123456789	Pin Code	400000
IFS Code	11111111111	Mode of Transfer	Electronic Transfer		
Maker Details					
Action Timestamp	User Id	Request	Status	Remarks	
2014-12-30 12:28:39.011752	1400985600	Captu	ired	Rectification of Erroneou	is Transaction
Checker Details					
Action Timestamp	User Id	Request	Status	Remarks	
Authorizer Details					
Action Timestamp	User Id	Request	Status	Remarks	
Remarks					
		Accent			
		 Accept 			
		Submit	Cancel		

Figure: 11

When the User submits the request, 'Request Verified/Authorized Successfully, Acknowledgement ID is 100XXXXXX 'message will appear. Please refer Figure 12.

In case the POP User rejects the request, POP/POP-SP User has to capture the fresh request for rectification, if needed.

Velcome Point of Presence - Service	Provider-1400985601				30-Dec-20	14 Home Logou
nsaction Contribution Detail	ls Grievance Exit V	Vithdrawal Requ	uest Usei	r Maintenance Docum	ent Management Views	MIS Nodal Offices Upload
or Rectification Module S1 S	Submission Details Int	er Platform Tra	nsfer Reque	st		
	Request Verified S	uccessfully.Ackn	owledgemen	t ID is: 10000057883. Pend	ling for Authorization.	
Rectification of Error	oneous Transfer to	PRAN				
arch Details						
cknowledgement No.	10000057883	Tier Type	ł	T1		
ransaction Id	1400985600023	PRAN		110030995551		
Contribution Type	Tier-1	Subscribe	er Name	PRANIT VIRENDRASIN	IGH BANTHIA	
Contribution Month / Year		Contribut	ion Amount	454.00		
PAO/POP-SP/POP/PrAO Reg. N	o. 6009850	PAO/POF SP/POP/	⊳_ PrAO Name	State Bank of India, Bha	idra - Ahmedabad	
ontribution Details						
PAO/DDO PRAN	Contribution Type	Month	Year	Amount	Remarks	Amount Available for
6009850 110030995551	Tier-1			454.00	12600985000000004	454.00
equest Details						
Request Type	Redemption or	f Excess Transfe	r To PRAN			
Amount to be Adjusted	454.00					
Remark for SOT	Rectification of	Erroneous Trans	saction			
ank Details of PAO						
ccount Number	123456	Bank Name		XYZ	Branch N	ame PQR
Bank Address	ABC	MICR Code	_	123456789	Pin Code	400000
-S Code	1111111111	Mode of Trans	ter	Electronic Transfer		
aker Details						
Action Timestamp	User Id		Reques	t Status	Remai	ks
2014-12-30 12:28:39.011752	1400985600		Capt	tured	Rectification of Erron	eous Transaction
hecker Details						
Action Timestamp	User Id		Reques	t Status	Remai	ks
Action Timestamp	1400985601		Veri	ned		
2014-12-30 12:30:34.912758						
2014-12-30 12:30:34.912758 uthorizer Details						

Once request is verified by POP-SP successfully, the same will be available for Authorization at POP level (in case of decentralized mode). As mentioned in the

point 1 of 3 (A), in case of centralized operation, no separate authorization is required.

Authorization of request:

POP User will be able to view the request verified by the POP-SP. User has to click the menu 'Error Rectification Module' and sub-menu 'Authorize rectification of Erroneous Transfer to subscriber'. Please refer Figure 13.

0	NSD	L					Central Re	ecordke	eping	Age	ncy
Welcome I	Point of Presen	ce-130020104					30-Dec	-2014		Home	Logout
Transaction	n Contribu	tion Details	Subscribe	er Registration	Grievance	Exit Withdrawal Request	User Maintenance	Document Ma	inagement	Views	MIS
Reports	Dashboard	Nodal Offic	es Upload	Error Rectific	ation Module	S1 Submission Details	Inter Platform Transfe	r Request			
				View Request 8	Status						
				Rectification of Transfer to sub	Erroneous scriber						
				Verify 'Rectifica Erroneous Tran subscriber'	ition of Insfer to	Central Recordkeeping Ag	ency				
				Authorize 'Rect Erroneous Tran subscriber'	ification of isfer to	st of Exit Claim IDs awaitir	ng any action				

Figure 13

Search screen will be provided to the POP User where User has to provide the PRAN/Acknowledgement ID and select the 'Request Type'. Request can also be searched by using the 'Date Range'. Hyperlink will be provided to the User. Please refer Figure 14.

6 NSDL			Central Re	cordkeeping	Agenc	y
Welcome Point of Presence-130020104			30-Dec	-2014	Home Logo	ut
Transaction Contribution Details Subscriber Re	egistration Grievance	Exit Withdrawal Request	User Maintenance	Document Management	Views M	s
Reports Dashboard Nodal Offices Upload E	Fror Rectification Module	S1 Submission Details	Inter Platform Transfe	r Request		

Rectification of Erroneous Transfer To PRAN

10000057883	
Select	
	(4)
(dd/mm/yyyy)	
(dd/mm/vyvy)	
	(10000057883 Select ✓ (dd/mm/yyyy)



Rectification of Erroneous Transfer To PRAN

P	RAN							
A	cknowledgement	No.						
R	equest Type	Select V						
P	AO/POP-SP/POF	P/PrAO Reg. No.						
С	apture Date - Fro	m	30/12/2014 (dd/mm/yyyy)					
С	apture Date - To		30/12/2014		(dd/mm/yy)	/y)		
			Submit	Reset				
wledgement No.	PRAN	Request Type	Captured By	Capture Date	PAO Reg No.	PAO Name	Checker Userld	Checker Action Date
00057883	110030995551	Redemption of Excess Transfer To PRAN	1400985600	05-11-2014	6009850	State Bank of India, Bhadra - Ahmedabad	1400985601	05-11-2014

Figure 14

Once POP User clicks the hyperlink, rectification details will be shown to the User. POP has to check the details verified by the POP-SP. POP-SP should provide offline erroneous credit details to POP so that POP User can authorize the details in CRA system. POP User should check all the details entered by the POP-SP Users based on the offline details received from the POP-SP such as Source PRAN, amount to be debited from source PRAN and Payment to be received in the Bank account etc. User has the option to 'Accept' or 'Reject' the request. Kindly note, if the request is rejected by the POP User, POP-SP User has to capture the fresh request for rectification, if needed. POP User can also provide the remarks in 'remarks field for their reference while processing/rejecting the request. Please refer Figure 15.

🗿 NSDL			Сет	ntral Recordke	eeping Agency
Welcome Point of Presence-130020	104			30-Dec-2014	Home Logout
ansaction Contribution Deta	ils Subscriber Registr	ation Grievance E	xit Withdrawal Request Use	er Maintenance Documen	t Management Views
IS Deports Dashboard	Nodel Offices Unload	Error Destification Mode	ula 64 Submission Dataila	Inter Distform Transfer Dr	aucot
is Reports Dashboard	Notal Offices opioad	Error Recurrention mout			quest
Rectification of Er	roneous Transfer to	PRAN			
Search Details					
Acknowledgement No.	10000057883	Tier Type	T1		
Transaction Id	1400985600023	PRAN	110030995551		
Contribution Type	Tjer-1	Subscriber Name	PRANIT VIRENDRASING	H BANTHIA	
Contribution Month / Voor	TICI-1	Contribution Arrest	at 454.00		
	No 6000050		Rioto Donis of Justice Disert	ra Abmodobad	
PAO/POP-SP/POP/PrAO Reg. I	No. 6009850	SP/POP/PrAO Nar	State Bank of India, Bhad	ra - Ahmedabad	
Contribution Details					
PAO/DDO PRAN	Contribution Type	Month Year	Amount	Remarks	Amount Available for
Reg. No. 110020005551	Tior 1		454.00	1260002500000004	Adjustment 454.00
0003030 110030333331	TIGI-1		434.00	1200030300000004	434.00
Request Details					
Request Type	Redemption of	Excess Transfer To PRAN			
Amount to be Adjusted	454.00				
Remark for SOT	Rectification of E	rroneous Transaction			
Bank Details of PAO					
Account Number	123456 ABC	Bank Name	XYZ 103456790	Branch Name	PQR
IES Code	ABC 1111111111	Mode of Transfer	123430709 Electronic Transfer	Pin Code	40000
Maker Details					
Action Timestamp	User Id	Req	uest Status	Remarks	e Transaction
Checker Details	1400965600		Japiti Bu	recurrention of Erroneou	S 1101158CUUT
Action Timestamp	User Id	Req	uest Status	Remarks	
2014-12-30 12:30:34.912758	1400985601		Verified		
Authorizer Details					
Action Timestamp	User Id	Req	uest Status	Remarks	
Remarks					
		Acce	ept O Reject		

Figure 15

When the POP User submits the request, 'Request Authorized Successfully, Acknowledgement ID is 100XXXXXX' message will appear. Please refer Figure 16.

			19	Central Recor	dkeeping Agend
Welcome Point of Presence 13002010	•			30-Dec-2014	Home Logo
ansaction Contribution Details	Subscriber Registration G	rievance Exit Withdrawal	Request User Maintenanc	e Document Managemen	t Views MIS Reports
shboard Nodal Offices Upload	Error Rectification Module	S1 Submission Details	nter Platform Transfer Reque	st	
 Rectification of Erro 	neous Transfer to PRAN Request Aut	horized Successfully.Ac	knowledgement ID is: 100	000057883	
Search Details					9
Acknowledgement No.	10000057883	Tier Type	T1		
Transaction Id	1400985600023	PRAN	110030995551		
Contribution Type	Tier-1	Subscriber Name	PRANIT VIRENDRASINGH E	BANTHIA	
Contribution Month / Year		Contribution Amount	454.00		
PAO/POP-SP/POP/PrAO Reg. No.	6009850	PAO/POP-SP/POP/PtAO Name	State Bank of India, Bhadra -	Ahmedabad	
Contribution Details					
PAOIDOO PRAN	Contribution Type	Month Year	Amount	Remarks	Amount Available for Adjustmen
6009850 110030995551	Tier-1		454.00	12600965000000004	
Request Details					
Request Type	Redemption of Exce	ss Transfer To PRAN			
Amount to be Adjusted	454.00				
Remark for SOT	Rectification of Error	eous Transaction			
Bank Details of PAO					
Account Number	123456 Ba	nk Name	XYZ	Branch Nam	ne PQR
Bank Address	ABC MI	CR Code	123456789	Pin Code	400000
IFS Code	11111111111 Mo	de of Transfer	Electronic Transfer		
Maker Details					
Action Timestamp	l User Id	Request	Status	Remark	8
2014-12-30 12:28:39.011752	1400985600	Captur	red	Rectification of Errone	ous Transaction
Checker Details					
Shoeker Details		Request	Status	Remark	8
Action Timestamp	User Id		- 4		
Action Timestamp 2014-12-30 12:30:34.912758	User Id 1400985601	Verifie	ed		
Action Timestamp 2014-12-30 12:30:34.912758 Authorizer Details	User Id 1400985601	Verifi	20		
Action Timestamp 2014-12-30 12:30:34.912758 Authorizer Details Action Timestamp	User Id 1400985601 User Id	Verifie Request	ed Status	Remark	8

Figure 16

Subscriber Confirmation:

Subscriber confirmation is a provision given to subscribers to confirm/reject an erroneous rectification request that is raised by their present/earlier mapped entity. Only after the subscriber gives a confirmation the erroneous rectification request can be finally authorized to be processed. Once POP user Authorizes the request for processing, Subscriber will receive an email with all relevant information pertaining to an erroneous request, for which the subscriber will be required to provide confirmation.

On receipt of the email, subscriber will login to the system to view a link on the welcome screen itself. Link will provide details of all requests that are pending for subscriber confirmation. Please refer Figure 17 and 18.

6 NSDL	Central Recordkeeping Agency
News	Welcome to Central Record-Keeping Agency
User ID 110030995551 × Password ••••••• Submit Reset Forgot Password?	Nodal Offices / Other Intermediaries I-PIN Digital Certificate User ID Password Password Submit Reset Forgot Password?
 Check Grievance Status Check Status using Receipt Number Help / Instruction for Login 	 Check Grievance Status Check Subscriber Registration Status Check Subscriber Withdrawal Status DDO Login using OTP Help / Instruction for Login

Figure 17



Figure 18

On clicking the link "Pending ERM Confirmation" Subscriber will select a request for which subscriber wants to provide confirmation by clicking on hyperlink. Please refer Figure 19.

Ø	NSDI	L					Central Rec	ordkeep	ing Agency
Welcom	e Subscriber-11003	0995551					30-Dec-2014		Home Logout
Security	Account Detail	s Grievance	Transaction Statement Ex	kit Withdrawal Reque	est Views	User Maintena	nce Update Details		
Ac	Rectification	of Erroneous	s Transfer To PRAN	Captured	Capture	PAO Reg	PAO Name	Checker	Checker Action
	No.	PRAN	Request Type	By	Date	No.	PAO Name	Userid	Date
	10000057883	110030995551	Redemption of Excess Transfer PRAN	1400985600 C	05-11-2014	6009850 S	tate Bank of India, Bhadra - Ahmedabad	1400985601	05-11-2014

Figure 19

A page with all details corresponding to that erroneous request will appear on the screen for subscriber's reference. Subscriber can accept or reject the request. On selection of an option, system will display a confirmation screen with Accepted/Rejected message. Please refer Figure 20 & 21.

60 NS	DL								Ce	entral Recor	dkeeping Agency
Welcome Subscrib	er-11003099	5551								30-Dec-2014	Home Logout
ecurity Accour	nt Details	Grievance	e Transaction Statemer	t ExitWi	thdrawal Req	uest Viev	NS	User Mai	ntenance	Update Details	
Rectifi	cation of	f Erroneo	us Transfer to PRAN								
Search Details											
Acknowledgeme	nt No.		10000057883	Tier Ty	pe	T1					
Transaction Id			1400985600023	PRAN		11003	0995	551			
Contribution Typ	e		Tier-1	Subscr	iber Name	PRAM		RENDRAS	INGH BAN	ITHIA	
Contribution Mor	nth / Year			Contrib	oution Amount	454.0	0				
PAO/POP-SP/P	op/prao r	eg. No.	6009850	PAO/P Name	OP-SP/POP/F	PrAO State	Bank	of India, B	hadra - Ahr	medabad	
Contribution Deta PAO/DDO	ils PRA	N I	Contribution Type	Month	Vear		Ar	nount		Demarks	Amount Available for Adjustment
Reg. No. 6009850	11003099	95551	Tier-1	Montar	real		4	54.00		1260098500000004	454 00
Request Details Request Type Amount to be Ad Remark for SOT	justed		Redemption of Ex 454.00 Rectification of Err	cess Transfe	r To PRAN						
Maker Details			results and a contract of contract								
Action	Timestam	p I	User Id		Req	uest Status				Remar	ks
2014-12-30	12:28:39.0	11752	1400985600		(Captured				Rectification of Errone	eous Transaction
Checker Details											
Action	Timestam	p	User Id		Req	uest Status				Remar	ks
2014-12-30 Authorizer Details	12:30:34.9	12758	1400985601			Verified					
Action	Timestam		lleer id		Deg	uget Statue		_		Demar	**
2014-12-30	12:33:36.6	31615	130020104		A	uthorized				-	
Subscriber Rema	arks	[• Acc	ept O Re	ject				
·					Subm	it Cancel					

6 NSDL Central Recordkeeping Agency Subscriber-110030995551 30-Dec-2014 Home | Logout Security Account Details Grievance Transaction Statement Exit Withdrawal Request Views User Maintenance Update Details Rectification of Erroneous Transfer to PRAN ۲ Search Details Acknowledgement No. 10000057883 Tier Type T1 1400985600023 Transaction Id PRAN 110030995551 Contribution Type PRANIT VIRENDRASINGH BANTHIA Tier-1 Subscriber Name Contribution Month / Year Contribution Amount 454.00 PAO/POP-SP/POP/PrAO Reg. No. PAO/POP-SP/POP/PrAO State Bank of India, Bhadra - Ahmedabad 6009850 Name Contribution Details PAO/DDO Reg. No. PRAN 6009850 110030995551 Contribution Type Amount Available for Adjustm Month Amount Remarks Tier-1 454.00 12600985000000004 454.00 Request Details Request Type Redemption of Excess Transfer To PRAN Amount to be Adjusted 454.00 Remark for SOT Rectification of Erroneous Transaction Maker Details Action Timestamp Request Status User Id Remarks Т Т 2014-12-30 12:28:39.011752 Rectification of Erroneous Transaction 1400985600 Captured Checker Details Action Timestamp 2014-12-30 12:30:34.912758 User Id **Request Status** Remarks 1400985601 Verified Authorizer Details Action Timestamp User Id Request Status Т 2014-12-30 12:33:36.631615 130020104 Authorized Subscriber Remarks Accept OReject Confirm Cancel

Figure 20



Your confirmation request has been submitted. Please note units corresponding to the erroneous amount will be debited from your account.

Figure 21

The request which has been accepted by the subscriber will be considered for processing in the CRA system. As per the process, units will be redeemed and funds will be transferred to the POP account (provided at the time of request) on T+3 basis.

The POP/POP-SP may re-raise the rectification request once the subscriber rejects the ERM request. In such case, the POP/POPSP should take subscriber into confidence and inform about the erroneous case and subsequently, the rectification request can be captured as per above mentioned process.

3 (B). Transfer to PRAN 1 instead of PRAN 2:

In some cases, POP/POP-SP has inadvertently transferred an amount to PRAN "1" instead of PRAN "2". Accordingly units have been credited in the PRAN "1". As the amount is already credited to PRAN "1" (i.e. file is matched and booked), correction file cannot be uploaded by the POP/POP-SP. In such cases, POP/POP-SP has to put the request for rectification of entry in 'Error Rectification Module'. Following are the validations built in the system:

- 1. The functionality has operational hierarchy which comprises maker, checker and Authorizer. In case of centralized mode of operation, only the maker and checker role will be applicable. The maker and checker here are referred as User 1 and User 2.
- 2. Both the PRANs (i.e. Source as well as Target) should be in active status in CRA system.
- 3. POP/POP-SP can capture the request for only that contribution record which has been uploaded by it.
- 4. Error rectification request once captured, POP/POP-SP will not be able to capture a fresh (new) request for transfer of credit from the PRAN which was credited with the excess amount unless the request is processed/rejected completely. For example, POP/POP-SP has captured the request for transfer of an amount wrongly credited into PRAN 1 instead of PRAN 2. Unless this request is effectively completed, POP/POP-SP will not be able to capture any other rectification request pertaining to above mentioned transaction for the particular subscriber.
- 5. POP/POP-SP can capture maximum of five target PRANs in a request.

Calculation of the transfer of the units for settlement Process for erroneous transfer cases is as follow:

The processing logic has been explained considering the status of the PRAN in the CRA system at time of rectification:

 In case of same Scheme Preference – In case the scheme preference of both the PRANs is same, the treatment of such rectification has been explained in the example as case A. In case of different Scheme Preference – In case the scheme preference of both the PRANs is different, the treatment of such rectification has been explained as case B.

Case A- <u>Both source and target PRAN has same Scheme Preference:</u> In case, the PFM scheme preference is identical for both the PRANs (at the time of erroneous credit as well as at the time of rectification) then equivalent units will be transferred from the source PRAN to the target PRAN.

Illustration:

Erroneous credit:

POP/POP-SP 'X' had remitted in excess Rs. 2,000/- in PRAN "1" inadvertently, instead of PRAN "2" on April 22, 2010. Based on NAV of Rs. 10/-, 200 units were created for Rs. 2,000/- and credited to PRAN "1".

Rectification process:

On February 15, 2013, POP/POP-SP 'X' has requested for rectification of incorrect credit in PRAN "1" and transfer of investment to PRAN "2". After the necessary verification and authorization of rectification request by POP/POP-SP and subscriber, the CRA system will be required to transfer 200 units created erroneously to the PRAN "2". Accordingly, 200 units will be debited from the PRAN "1" (Source PRAN) and credited to the PRAN "2" (Target PRAN).

Case B- <u>Both source and target PRAN has different Scheme Preference:</u> In case, the PFM scheme preference is different for both the PRANs (at the time of erroneous credit as well as at the time of rectification) then the equivalent units will be debited from source PRAN and through redemption and reinvestment process, the units will be transferred to the target PRAN. The units in source PRAN will be derived after considering the impact of shifting and/or scheme preference change on that PRAN, as mentioned in case B of section 3(A) above.

Illustration:

Erroneous credit:

POP/POP-SP 'X' had remitted in excess Rs. 2,000/- in 'PRAN 1' inadvertently, instead of 'PRAN 2' on April 22, 2010 through Transaction ID 'A'. Based on NAV of Rs. 15.2345/-, 131.2809 units were created for Rs. 2,000/- and credited to PRAN 1 as shown below:

Contribution investment table of PRAN 1:

The erroneo 2010.	The erroneous amount got settled in the below mentioned scheme on 22 April 2010.											
PFM Scheme Name	PFM Scheme Percent	Original Erroneous credit	NAV of the day of Erroneous	Original Erroneous credit units								
Scheme S1 of PFM1	100	2000	15.2345	131.2809								

Rectification process:

On February 15, 2013, POP/POP-SP 'X' identified such erroneous credit and captures the ERM request in the CRA system. After the necessary verification and authorization of rectification request by POP/POPSP and subscriber, CRA system will be required to transfer, the above mentioned units created erroneously under PRAN 1 to PRAN "2".

Redemption process:

Unlike case A, where the subscriber scheme preference was same and, hence, the exact units (units created at the time of investment) were transferred to PRAN "2". However, here in this case the number of units to be credited on account of such rectification will undergo change due to difference in the scheme preference of both the PRANs.

In case there is a change in the scheme, the units are redeemed from source PRAN and re-invested in the target PRAN.

Accordingly, as erroneous request for Rs 2000 is placed for PRAN "1", then 131.2809 units (considering there is no change in the source PRAN scheme) will be redeemed and the proceeds will be transferred to the PRAN "2" through re-investment process.

In case the source PRAN (PRAN "1") scheme has changed over the period of time, then the units calculation in the source PRAN will be similar to the example given in case B of section 3 (A). Considering the same example, the following table represents the current equivalent units of the erroneous credit in the source PRAN:

PFM Scheme Name	Scheme Percent	Erroneous amount (value of erroneous credit)	NAV of the day of shifting	Erroneous units
Scheme	100	2838.67	22.3232	127.1623

S2 of		
PFM2		

The above table depicts that due to changes in the subscriber's scheme i.e. from Scheme S-1 of PFM "1" to Scheme S2 of PFM "2", the units (131.2809) which were created at the time of investment have become 127.1623 in the CRA system.

Accordingly, when an Error Rectification request for Rs. 2000 is placed for PRAN "1", then 127.1623 units (considering the retrospective impact) will be redeemed and the proceeds will be re-invested in the target PRAN "2".

The following section elaborates the Standard Operating Procedure to be followed by the POP/POP-SP for rectification in case of Transfer to PRAN "1" instead of PRAN "2".

Capturing of Request

Once POP/POP-SP logs into the CRA site {please refer figure 1 and 2 in the section 3 (A)}, there is a menu of 'Error Rectification Module'. User will click the sub-menu 'Rectification of Erroneous Transfer to subscriber'. Please refer Figure 22.

60 N	ISDL						Cent	ral I	Recordkeepin	g Agency
Welcome Poi	nt of Presence - Se	rvice Provider	r-140098560	ю					30-Dec-2014	Home Logout
Transaction	Contribution D	etails Gri	ievance	Exit Withdrawal Request	User Maintenance	Document Management	Views	MIS	Nodal Offices Upload	
Error Rectifica	tion Module	S1 Submissi	ion Details	Inter Platform Transfer	Request					
View Request St	tatus									
Rectification of E Transfer to subse	Erroneous criber									
Verify 'Rectificati Erroneous Trans subscriber'	ion of ifer to			Welco	me to Central Reco	rdkeeping Agency				

Click here to view list of Exit Claim IDs awaiting any action

User will be shown the Welcome page of this menu. A summary containing information relevant to the menu has been provided in the Welcome page. Please refer Figure 23.

🧐 NSDL		(Central I	Recordkeepin	ng Agency
Welcome Point of Presence - Service Provider-1400985600				30-Dec-2014	Home Logout
Transaction Contribution Details Grievance E	Exit Withdrawal Request User Maintenance	Document Management	Views MIS	Nodal Offices Upload	
Error Rectification Module S1 Submission Details	Inter Platform Transfer Request				
Terms & Conditions					
> This link will help the user to withdraw the excess	ss amount which was transferred by the entity to th	e Trustee Bank, which is alrea	dy invested and is	available in the pool.	
 For redemption of such excess transfers the us Details - Erroneous Fund Transfer Details (Ban User may also click on Reset button in order to 	ser will have to enter all the mandatory details such the details of PAO), Bank Details - Payment to be R re-enter the fields.	as PAO Reg. No. (if PAO use eceived (Details of bank to wh	er is maker then th ich the excess pa	is field is pre-populated), Tra yment will be transferred) an	nsfer details, Bank d clicks on Submit.
 The request thus raised by the entity maker us can also authorize the request raised by PAO o 	ser will go to another entity checker user for verific on behalf of PrAO.	ation, followed by an authoriza	ation by their PrA	O (maker/checker/authorizer	activity). CRA user
 Once the request is authorized it will be availab disbursement by the CRA system. 	ole with the trustee bank for final approval. Once th	e request is approved by the t	rustee bank make	r and checker user then it wi	I be considered for
L	Continue	1			

Figure: 23

User has to click the 'Continue' button to capture the request. Please refer Figure 24.

60 NS	DL					Centr	ral I	Recordkeepin	g Agency
Welcome Point of Pro	esence - Service Pr	ovider-140098560	00					30-Dec-2014	Home Logout
Transaction Conti	ribution Details	Grievance	Exit Withdrawal Request	User Maintenance	Document Management	Views	MIS	Nodal Offices Upload	
Error Rectification M	odule S1 Sub	nission Details	Inter Platform Transfer	Request					

Rectification of Erroneous Transfer To PRAN

		* Mandatory Fields
Transaction Id *	1400985600023	
PRAN*	110050995550	
Tier Type *	Tier Type 1 🗸	
	Submit Reset	

2

Figure: 24

User has to provide the required details in the relevant fields. It is mandatory to provide 'Transaction ID', PRAN (which has received incorrect credit) and the Tier Type. Once the User clicks the submit button, following screen will be shown to the User. Please refer Figure 25. If the PRAN is not part of the Transaction ID, a message will be shown as 'No Record Found'.

🧿 NSDL				Central	Recordkee	ping Agency
Welcome Point of Presence - Service Provid	er-1400985600				30-Dec-2014	Home Logout
insaction Contribution Details G	rievance Exit Withdr	awal Request User Maintenar	ce Document Manageme	ent Views I	MIS Nodal Offices Up	load
or Rectification Module S1 Submis	sion Details Inter Pla	tform Transfer Request				
Rectification of Erron	eous Transfer To P	RAN				
Search Details						* Mandatory Fields
Acknowledgement No.	-	Tier Type	T1			
Transaction Id	1400985600023	PRAN	110050995550			
Contribution Type	Tier-1	Subscriber Name	DEEPTI PRANIT BANTHIA			
Contribution Month / Year		Contribution Amount				
PAO/POP-SP/POP/PrAO Reg. No.	6009850	PAO/POP-SP/POP/PrAO Name	State Bank of India, Bhadra	- Ahmedabad		
Contribution Details						
Sr No. PAO/DDO Reg.	No. PRAN (Contribution Type Month	Year Amo	unt	Remarks	Amount Available for Adjustment
1 6009850	110050995550	Tier-1	454	.00	1260098500000003	454.00
Request Type *	Adjustment of	erroneous transfer to wrong PF	RAN 🗸			
Amount to be Adjusted	454					
Remark for SOT *	Rectification of E	rroneous Transaction				
Target PRAN Details						
Target Tier	Amount to be		D			
Target PRAN Type	adjusted		Rema	rk for SOT		
110030996661 Lier Type I N	454	Rectification of Erroneous Transaction	n			
Select N	/					
Select N	/				_	
Select N						
Bank Details of PAO		-				
					*	
Account Number *		Bank Name *		Branch Na	ne *	
Bank Address *		MICR Code *		Pin Code *		
		wode of fransier*	elect V			
		Cuberit	Pasat			
		Submit	I YEARS			
Note Redemotion of overcos transfer to	DRAN: Evapor Euroda	be withdrawn and comitted keeping	same antity from whore	unt had been rece	ived for the trop id	
 Adjustment of erroneous transfer to 	to wrong PRAN: Contribu	tion will be shifted to the correct PF	AN as per details provided.	um nau peen rece	wea lor the damia.	
3	-					

Figure: 25

User will be shown all the contributions uploaded for the PRAN based on the search criteria. User will choose the records where rectification is required. User will provide following details:

- 1. **Target PRAN:** POP/POP-SP User will provide the PRANs where equivalent units will be credited.
- 2. Amount to be Adjusted: POP/POP-SP User will provide the amount which is to be debited from the selected record. This particular amount will be debited

from the Source PRAN (subscriber account) and will be credited to the Target PRAN.

3. **Remarks for Transaction Statement (TS):** POP/POP-SP User will provide the appropriate remark in this field for subscriber's TS. This remark will be shown in the subscriber's TS with the credit entry of the 'Amount to be Adjusted'.

After providing the mandatory details, when POP/POP-SP User clicks the submit button, User will be shown the confirmation page as below. Please refer Figure 26.

🧐 NSDL				Ce	entra	l Record	keeping A	gency	^
Welcome Point of Presence - Service Provider-1400	0985600					30-Dec-2014	F	lome Logout	
Transaction Contribution Details Grievar	nce Exit Withdrawal R	equest User I	Maintenan	ce Document Management	Views	MIS Nodal Of	fices Upload		
From Rectification Module S1 Submission D	etails Inter Platform	Transfer Request							
Erroneous Transfer To PRAN	ı								
Search Details									
Acknowledgement No.	-	Tier Type		T1					
Transaction Id	1400985600023	PRAN		110050995550					
Contribution Type	Tier-1	Subscriber Nam	e	DEEPTI PRANIT BANTHIA					
Contribution Month / Year		Contribution Am	ount	454.00					
PAO/POP-SP/POP/PrAO Reg. No.	6009850	PAO/POP-SP/P Name	OP/PrAO	State Bank of India, Bhadra - Ahm	nedabad				
Contribution Details									
PAO/DDO Reg. No. PRAN	Contribution Type	Month	Year	Amount		Remarks	Amount Availat Adjustmen	ble for It	
6009850 110050995550	Tier-1			454.00	126	0098500000003	454.00		
Request Details Request Type Amount to be Adjusted	Adjustment of erroneor 454	us transfer to wron	Ig PRAN						
Remark for SOT	Rectification of Errone	ous Transaction							
Target PRAN Details									4
Target PRAN Target Tier Type Ame	ount to be djusted			Remark for SO	от				
110030995551 Tier Type 1	454 Rectification	of Erroneous Tran	saction						
			Confirm	Cancel					

Figure: 26

User should check all the details and then click on the 'Confirm' button. Acknowledgement ID will be generated in the CRA system and a message "Request captured successfully, Acknowledgement ID is 100XXXXX. Request pending for verification" will be shown to the User. User should note down the Acknowledgment number for tracking the status of the request. Please refer Figure 27.

🗿 NSDI					C	entral	Record	keeping Agency
Welcome Point of Presence -	- Service Provider-14	00985600					30-Dec-2014	Home Logout
ansaction Contribution	n Details Griev	ance Exit Withdrawal	Request Use	Maintenar	nce Document Management	Views I	VIS Nodal O	ffices Upload
ror Rectification Module	S1 Submission	Details Inter Platform	Transfer Reque	st				
Rectification	of Erroneous	Request Captured Succo	essfully.Acknowle	dgement ID	is: 10000057884. Request pendir	ng for verificati	ion	
Search Details								
Acknowledgement No.		10000057884	Tier Type		T1			
Transaction Id		1400985600023	PRAN		110050995550			
Contribution Type		Tier-1	Subscriber Na	me	DEEPTI PRANIT BANTHIA			
Contribution Month / Year	r		Contribution A	mount	454.00			
PAO/POP-SP/POP/PrAO	Reg. No.	6009850	PAO/POP-SP/ Name	POP/PrAO	State Bank of India, Bhadra - Ah	imedabad		
Contribution Details								
PAO/DDO Reg. No.	PRAN	Contribution Type	Month	Year	Amount	R	lemarks	Amount Available for Adjustment
6009850	110050995550	Tier-1			454.00	126009	8500000003	454.00
Request Details		Adjustment of erroner	us transfer to wr					
Amount to be Adjusted		454	as industed to write	ang i roan				
Remark for SOT		Rectification of Errone	ous Transaction					
Target PRAN Details								
Target PRANTarg110030995551Ti	et Tier Type Ai er Type 1	nount to be adjusted 454 Rectification	n of Erroneous Tr	ansaction	Remark for S	OT		
Maker Details								
Action Timesta	mp	User Id		Request	Status		Remarks	
2014-12-30 12:43:57	.700095	1400985600		Captu	red	Rectifica	tion of Erroneou	is Transaction
Checker Details								
Action Timesta	mp	User Id		Request	Status		Remarks	
Authorizer Details								
Action Timesta	mp I	User Id		Request	Status		Remarks	

Figure: 27

Now the request will be available in the CRA system for POP/POP-SP second User to verify/authorize the request. As mentioned above, in case of centralized operation, no separate authorization is required.

Verification of Request:

POP/POP-SP second User will login into the CRA website. Once the User logs into the website, User has to click on the sub-menu 'Verify Rectification of Erroneous Transfer to subscriber'. Please refer Figure 28.



Figure: 28

Once User provides the search fields, hyperlink will be provided to the User. Please refer Figure 29.

🧔 NSDI	_				Centr	ral I	Recordkeep	oing Agency
Welcome Point of Presence -	- Service Provider-1	400985601					30-Dec-2014	Home Logout
Transaction Contribution	n Details Griev	vance Exit Withdrawal R	Request User Maintenance	Document Management	Views	MIS	Nodal Offices Upload	1
Error Rectification Module	S1 Submission	n Details Inter Platform	Transfer Request					
Rectification	of Erroneous PRAN Acknowledgemen Request Type PAO/POP-SP/PO Capture Date - Tro Capture Date - To	Transfer To PRAN t No. P/PrAO Reg. No.	-Select- 30/12/2014 30/12/2014 Submit Reset	(dd/mm/yyyy)	V			8
Acknowledgem	ent No.	PRAN	R	equest Type			Captured By	Capture Date
100000578	384	110050995550	Adjustment of Erron	Adjustment of Erroneous Transfer To Wrong PRAN			1400985600	05-11-2014

Figure: 29

Once User clicks the hyperlink, rectification capture details will be shown to the User. User has the option to 'Accept' or 'Reject' the request. User can also provide the remarks in 'remarks' field for their reference while processing the request. Please refer Figure 30.

Welcome Point of Presence - Service Provid ansaction Contribution Details (ror Rectification Module S1 Submis	der-1400985601 Grievance Exit Withdrawa Ision Details Inter Platfor	al Request	User Maintenan	ce Document Management	30-Dec-2	014 Home Logout
ansaction Contribution Details (ror Rectification Module S1 Submis	Grievance Exit Withdraws	al Request	User Maintenan	ce Document Management		
ror Rectification Module S1 Submis	sion Details Inter Platfor			ce bocument management	Views MIS Nod	al Offices Upload
		m Transfer R	Request			
Rectification of Erronec	ous Transfer to PRAN					
Search Details						1
Acknowledgement No.	10000057884	Tier Typ	e	T1		
Transaction Id	1400985600023	PRAN		110050995550		
Contribution Type	Tier-1	Subscrib	oer Name	DEEPTI PRANIT BANTHIA		
Contribution Month / Year		Contribu	tion Amount	454.00		
PAO/POP-SP/POP/PrAO Reg. No.	6009850	PAO/PO Name	P-SP/POP/PrAO	State Bank of India, Bhadra - Ah	medabad	
Contribution Details						
PAO/DDO Reg. No. PRAN	Contribution Type	Month	Year	Amount	Remarks	Amount Available for Adjustment
6009850 110050995550	Tier-1			454.00	1260098500000003	454.00
Request Details						
Request Type	Adjustment of error	neous transfer	to wrong PRAN			
Amount to be Adjusted	454.00	_				
Remark for SOT	Rectification of Erro	neous Transa	action			
Target PRAN Details						
Target PRAN Target Tier Type	adjusted			Remark for S	от	
110030995551 Tier Type 1	454.00 Rectificat	ion of Erroneo	ous Transaction			
Maker Details						
Action Timestamp	User Id		Request	Status	Rema	rks
2014-12-30 12:43:57.700095	1400985600		Captur	ed	Rectification of Error	neous Transaction
Checker Details						
Action Timestamp	User Id		Request	Status	Rema	rks
Authorizer Details						
Action Timestamp	User Id		Request	Status	Rema	rks
Remarks						
Remarks			Accent			

Figure: 30

When the User submits the request, 'Request Verified/Authorizes Successfully, Acknowledgement ID is 100XXXXX Pending for authorization' message will be shown. Kindly note, if the POP/POP-SP User rejects the request, POP/POP-SP User has to capture the fresh request for rectification, if needed. Please refer Figure 31.

🙆 NSDL					Centra	l Reco	rdkeeping	Agency
Welcome Point of Presence - Service Pro	vider-1400985601					30-Dec-20	D14	Home Logout
Fransaction Contribution Details	Grievance E	xit Withdrawal Request	User Maintena	ance Document Managem	ent Views	MIS Noda	al Offices Upload	
Error Rectification Module S1 Subr	nission Details	Inter Platform Transfer I	Request					
Rectification of Erron	Req eous Transfer	uest ∀erified Successfully.A r to PRAN	Acknowledgemen	tt ID is: 10000057884. Pending	for Authorizatio	n.		
Search Details								
Asknowledgement No	100000	157884 Tier Tur	10	т1				
Transaction Id	140095	25/004 Heiliy	JC .	110050995550				
Contribution Type	Tier-1	Subscri	her Name	DEEPTI PRANIT BANTHIA				
Contribution Month / Year	101-1	Contribu	ution Amount	454.00				
PAO/POP-SP/POP/PrAO Reg. No.	600985	50 PAO/PO Name)P-SP/POP/PrAC	D State Bank of India, Bhadra	a - Ahmedabad			
Contribution Details								
PAO/DDO Reg. No. PRAN	Contribution	Type Month	Year	Amount	F	Remarks	Amount Available fo	r Adjustment
6009850 110050995550	Tier-1			454.00	126009	8500000003	454.00	
Request Details								
Request Type	Adju	stment of Erroneous Transf	er To Wrong PR	AN				
Amount to be Adjusted	454.0 Rooti)0 ification of Erronoous Trans	antion					
Remark for SUI	Recti	fication of Erroneous Trans	action					
Target PRAN Details								
Target PRAN Target Tier Typ	e Amount to b	be		Remark	for SOT			
110030995551 Tier Type 1	45	4.00 Rectification of Errone	ous Transaction					
Maker Details								
Action Timestamp		UserId	Reques	t Status		Rema	rks	
2014-12-30 12:43:57.700095	14	400985600	Cap	tured	Rectif	fication of Erron	eous Transaction	
Checker Details								
Action Timestamp	44	User Id	Reques	t Status		Rema	rks	
Authorizer Details	14	+00909001	Ver	ineu				
Action Timestame			Dogues	t Statue		Dome	rka	1
Action Timestamp			Reques			Rema	1179	

Figure: 31

Once the request is verified by POP-SP, request will be available for POP for Authorization (in case of decentralized mode). As mentioned in the point 1 of 3 (B), in case of centralized operation, no separate authorization is required.

Authorisation of request:

POP User will be able to view the request verified by the POP-SP. User has to click the menu 'Error Rectification Module' and sub-menu 'Authorize rectification of Erroneous Transfer to subscriber'. Please refer Figure 32.

Ø 1	NSDL					(Central Reco	rdkee	pin	g Agency
Welcome P	oint of Presence-130020104	ŧ					30-Dec-2014			Home Logout
Transaction	Contribution Details	Subscriber Registration	Grievance	Exit Withdra	wal Request	User Maintenance	Document Management	Views	MIS	Reports
Dashboard	Nodal Offices Upload	Error Rectification Module	S1 Subm	ission Details	Inter Platfo	rm Transfer Request				
		View Request Status								
		Rectification of Erroneous Transfer to subscriber								
		Verify 'Rectification of Erroneous Transfer to subscriber'	Welc	ome to Centra	al Recordkee	eping Agency				
		Authorize 'Rectification of Erroneous Transfer to subscriber'	lick here to	o view list of l	Exit Claim ID	s awaiting any actio	n			

Figure 32

Search screen will be provided to the POP User where User has to provide the PRAN/Acknowledgement ID and select the 'Request Type'. Request can also be searched by using the 'Date Range'. Hyperlink will be provided to the User. Please refer Figure 33.



Rectification of Erroneous Transfer To PRAN

PRAN		
Acknowledgement No.	10000057884	
Request Type	Select V	
PAO/POP-SP/POP/PrAO Reg. No.		
Capture Date - From	(dd/mm/yyyy)	
Capture Date - To	(dd/mm/yyyy)	
	Submit Reset	

-



Rectification of Erroneous Transfer To PRAN

								E		
	PRAN	[
	Acknowledgement	t No.								
	Request Type	-	Select							
	PAO/POP-SP/PO	P/PrAO Reg. No.								
	Capture Date - Fro	om	80/12/2014	(dd	l/mm/yyyy)					
	Capture Date - To	[3	80/12/2014	🗾 🐻 (dd	l/mm/yyyy)					
			Submit Rese	t						
Acknowledgement No.	PRAN	Request Type	Captured By	Capture Date	PAO Reg No.	PAO Name	Checker Userld	Checker Action Date		
10000057884	110050995550	Adjustment of Erroneous Transfer To Wrong PRAN	1400985600	05-11-2014	6009850	State Bank of India, Bhadra - Ahmedabad	1400985601	05-11-2014		

Figure 33

Once POP User clicks the hyperlink, rectification details will be shown to the User. POP has to check the details verified by the POP-SP. POP-SP should provide offline details to POP so that POP User can authorize the details in CRA system. POP User should check all the details entered by the POP-SP Users based on the offline details received from the POP-SP such as Source PRAN, Target PRANs, and amount to be debited from source PRAN and amount to be credited in the target PRANs etc. User has the option to 'Accept' or 'Reject' the request. User can also provide the remarks in 'remarks field for their reference while processing the request. Please refer Figure 34

🤉 N	ISDL				C	entral Recor	dkeep	oing	Agency
Velcome Poi	int of Presence-130020104					30-Dec-2014			Home Logout
nsaction	Contribution Details	Subscriber Registration	Grievance I	Exit Withdrawal Re	quest User Maintenance	Document Managemen	t Views	MIS	Reports
hboard	Nodal Offices Upload	Error Rectification Module	S1 Submiss	ion Details Inte	r Platform Transfer Request				
⊛ Re	ectification of Erron	eous Transfer to PRAN	I						
earch Deta	ails								
ا - اسمبیا -	and the	4000057004	T	-	4				
Acknowled	gement No.	1000057884		T	10050005550				
Contributio	n Type	140090000023 Tier-1	Subscribe	T Name	FEPTI PRANIT BANTHIA				
Contributio	n Month / Year	not-1	Contributi	ion Amount 4	54.00				
PAO/POP-	SP/POP/PrAO Reg. No.	6009850	PAO/POF Name	P-SP/POP/PrAO S	tate Bank of India, Bhadra - A	hmedabad			
ontribution	Details								
PAO/DD Reg. No	O PRAN	Contribution Type	Month	Year	Amount	Remarks	Amount Av	ailable f	or Adjustment
6009850	0 110050995550	Tier-1			454.00	12600985000000003		454.00)
Request Ty Amount to It Remark for arget PRAI Target P 11003099	pe be Adjusted SOT N Details PRAN Target Tier Typ 35551 Tier Type 1	Adjustment of Er 454.00 Rectification of E Amount to be adjusted 454.00 Rectific	roneous Transfer rroneous Transad ation of Erroneou	r To Wrong PRAN ttion us Transaction	Remark for	SOT			
laker Detai	ils								
2014-1	12-30 12:43:57.700095	User Id 1400985600		Request Sta Captured		Remark Rectification of Errone	ous Transac	tion	
hecker Def	tails								
A 2014-1	ction Timestamp 12-30 12:46:06.652102	User Id 1400985601		Request Sta Verified	tus	Remark	(\$		
uthorizer D	Details								
Α	ction Timestamp	User Id		Request Sta	itus	Remark	(8		
Remarks				Accent	Reject				
				C Autopr O	. colour				

Figure 34

When the POP User submits the request, 'Request Authorised Successfully, Acknowledgement ID is 100XXXXXX' message will appear. Please refer Figure 35.

60 NS	SDL					Ce	entral Recor	dkeep	ing	Agency
Welcome Point of	Presence-130020104						30-Dec-2014			Home Logout
ransaction Co	ontribution Details	Subscriber Registration	Grievance	Exit Withdra	wal Request l	Jser Maintenance	Document Managemen	t Views	MIS	Reports
ashboard No	dal Offices Upload	Error Rectification Module	e S1 Submis	sion Details	Inter Platform	Transfer Request				
⊛ Recti	fication of Erron	eous Transfer to PRAN	N							
Search Details										
Astronutedeser	ant No.	40000057004	Ties Tue	-	T4					
Transaction Id	ient no.	1400985600023	PRAN	le	1100509955	50				
Contribution Ty	rpe	Tier-1	Subscrib	ber Name	DEEPTI PR	ANIT BANTHIA				
Contribution M	onth / Year		Contribu	tion Amount	454.00					
PAO/POP-SP/	POP/PrAO Reg. No.	6009850	PAO/PC Name	P-SP/POP/Pr	AO State Bank	of India, Bhadra - Ahr	medabad			
Contribution Def	tails									
PAO/DDO	PRAN	Contribution Type	Month	Year	Ал	ount	Remarks	Amount Ava	ilable fo	r Adiustment
6009850	110050995550	Tier-1			45	4.00	12600985000000003			
Request Details										
Request Type		Adjustment of F	rroneous Transfe	er To Wrong P	RAN					
Amount to be A	djusted	454.00		or ro mong.						
Remark for SO	Т	Rectification of E	rroneous Transa	action						
Target PRAN De	etails									
Target PRA	N Target Tier Typ	e Amount to be adjusted				Remark for SO	от			
11003099555	51 Tier Type 1	454.00 Rectific	ation of Erroned	ous Transactio	n					
Maker Details										
Actio	n Timestamn	liser Id		Requ	est Status		Remark	(9		
2014-12-3	0 12:43:57.700095	1400985600		Ca	aptured		Rectification of Errone	ous Transacti	on	
Checker Details										
Actio	n Timestamp	User Id		Requ	est Status		Remark	(8		
2014-12-3	0 12:46:06.652102	1400985601		V	erified					
	IS									
Authorizer Detai										
Authorizer Detai	n Timestamp	User Id	Δι	Requi	est Status ending for Subser	iber	Remark	(8		

Figure 35

Subscriber Confirmation:

Subscriber confirmation is a provision given to subscribers to confirm/reject an erroneous rectification request that is raised by their present/earlier mapped entity. Only after the subscriber gives a confirmation the erroneous rectification request can be finally considered for processing in the CRA system. The process for Subscriber Confirmation is same as mentioned in section 3(A), refer figure 17 to 21 given in the section 3 (A) for the steps for the subscriber confirmation.

Views by POP/POP-SP:

POP/POP-SP User will be able to view the status of request captured/verified/authorized by him/her. User has to click the menu 'Error Rectification Module' and sub-menu 'View Request Status'. Please refer Figure 36.

6 NSDI	L				Centr	ral I	Recordkeepin	g Agency
Welcome Point of Presence	- Service Provider-1400985	800					30-Dec-2014	Home Logout
Transaction Contributio	n Details Grievance	Exit Withdrawal Request	User Maintenance	Document Management	Views	MIS	Nodal Offices Upload	
Error Rectification Module	S1 Submission Detai	Is Inter Platform Transfer	Request					
View Request Status								
Rectification of Erroneous								
Verify 'Rectification of								
Erroneous Transfer to subscriber'		Welco	ome to Central Reco	ordkeeping Agency				
		Click here to	view list of Exit Cla	aim IDs awaiting any actio	n			

Figure 36

User can view the status of a particular request by providing the Acknowledgement ID. User can also search the request by providing the Request Type', 'PRAN' or 'Date Range'. Once User provides the search fields, Acknowledgment IDs (hyperlink) will be provided to the User. Please refer Figure 37 and 38.

60 N	NSDL			Central Recordkeeping Ag					
Welcome Po	oint of Presence - Service Pr	ovider-14009856(00					30-Dec-2014	Home Logout
Transaction	Contribution Details	Grievance	Exit Withdrawal Request	User Maintenance	Document Management	Views	MIS	Nodal Offices Upload	
Error Rectifica	ation Module S1 Sub	mission Details	Inter Platform Transfer	Request					

Status of Erroneous Transfer Request

19450-100		
PRAN		
Acknowledgement No.	10000057884	
Request Type	-Select V	
PAO/POP-SP/POP/PrAO Reg.No.		
Capture Date From	(dd/mm/yyyy)	
Capture Date To	(dd/mm/vvvv)	
	Submit Reset	

Figure 37

🚱 NSDL		Central Recordkeeping Agency
Welcome Point of Presence - Service Provider-1400985600		30-Dec-2014 Home Logout
Transaction Contribution Details Grievance Exit Withdraw	wal Request User Maintenance Document Management	t Views MIS Nodal Offices Upload
Error Rectification Module S1 Submission Details Inter Platfo	orm Transfer Request	

Status of Erroneous Transfer Request

								6		
	PRAN									
	Acknowledgement No.									
	Request Ty	ре		Select	~]				
	PAO/POP-S	P/POP/PrAC	Reg.No.							
	Capture Date From			30/12/2014						
	Capture Dat	te To		30/12/2014						
				Submit Reset						
Acknowledgement	No.	PRAN	Reques	st Type	Status	Captured By	Capture Date	Rejection Reason		
10000057884	1100	50995550	Adjustment of Erroneous	Transfer To Wrong PRAN	Accepted By Subscriber	1400985600	05-11-2014			

Figure 38

Once User clicks the hyperlink, the details of specific request will be available for view. Please refer Figure 39.

🦻 NSDL	5	Cer	Central Recordkeeping Agency					
Velcome Point of Presence - Serv	ice Provider-140	0985600				30-Dec-2014	Home Logout	
saction Contribution Det	ails Grieva	nce Exit Withdrawal	Request User	r Maintenar	ice Document Management	Views MIS Nodal Of	fices Upload	
r Rectification Module S1	Submission E	Details Inter Platform	Transfer Reques	st				
Rectification of E	rroneous Ti	ransfer to PRAN						
earch Details								
Acknowledgement No.		1000057884	Tier Type		Τ1			
ransaction Id		1400985600023	PRAN		110050995550			
Contribution Type		Tier-1	Subscriber Na	me	DEEPTI PRANIT BANTHIA			
Contribution Month / Year			Contribution A	mount	454.00			
PAO/POP-SP/POP/PrAO Reg. No.		6009850	PAO Name		State Bank of India, Bhadra - Ahme	edabad		
ontribution Details								
PAO/DDO Reg. No.	PRAN	Contribution Type	Month	Year	Amount	Remarks	Amount Available for Adjustment	
6009850 11	0050995550	Tier-1			454.00	1260098500000003	454.00	
lequest Type smount to be Adjusted temark for SOT arget PRAN Details Target PRAN Target Tik	er Type Am	Adjustment of Errone 454.00 Rectification of Errone ount to be	ous Transfer To V	Wrong PRAI	N Remark for SOT	-		
110030995551 Tier Ty	pe 1	454.00 Rectification	n of Erroneous Tra	ansaction				
Action Timestamp		User Id		Request	Status	Remarks		
2014-12-30 12:43:57.700	095	1400985600		Captu	red	Rectification of Erroneous	s Transaction	
necker Details								
Action Timestamp	Action Timestamp User Id		Request Status		Status	Remarks		
2014-12-30 12:46:06.652	102	1400985601		Verifi	ed			
thorizer Details								
Action Timestamp User Id		Request Status		Status	Remarks			
2014-12-30 12:47:36.010	738	130020104		Author	ized			
ubscriber Details								
Action Timestamp		User Id		Request	Status	Remarks		

Figure 39